

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 197(b) or 1.97(c))

Docket No.  
13768.604.22

In Re Application Of: Andy Harjanto

Application No.	Filing Date	Examiner	Customer No	Group Art Unit	Confirmation No.
10/620,095	07/15/2003	Stace, Brent S.	47973	2161	8726

**Title: METHOD AND SYSTEM FOR ACCESSING DATABASE OBJECTS IN POLYARCHICAL RELATIONSHIPS USING DATA PATH EXPRESSIONS**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

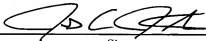
**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);  
**OR**  
☒ the fee set forth in 37 CFR 1.17(p).

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \$ \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of \$ \_\_\_\_\_.
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☒ Payment by credit card using the payment option in eFiler with RAM will be used to cover the payment of fees with respect to this Information Disclosure Statement.



Signature

Dated this 16<sup>th</sup> day of October, 2007.RICK D. NYDEGGER  
Registration No.: 28,651  
JENS C. JENKINS  
Registration No.: 44,803  
Attorneys for Applicant  
Customer No.: 47973

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Andy Harjanto	)	
		)	
Application No.:	10/620,095	)	Art Unit
		)	2161
Filed:	July 15, 2003	)	
		)	
Confirmation No.:	8726	)	
		)	
For:	METHOD AND SYSTEM FOR ACCESSING	)	
	DATABASE OBJECTS IN POLYARCHICAL	)	
	RELATIONSHIPS USING DATA PATH	)	
	EXPRESSIONS	)	
		)	
Examiner:	Stace, Brent S.	)	
		)	
Customer No.:	47973	)	

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

VIA eFILE IDS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 16<sup>th</sup> day of October, 2007.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Rick D. Nydegger', written in a cursive style.

RICK D. NYDEGGER  
Registration No.: 28,651  
JENS C. JENKINS  
Attorneys for Applicant  
Registration No. 44,803  
Customer No. 47973

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	10620095
	Filing Date	2003-07-15
	First Named Inventor	Andy Harjanto
	Art Unit	2161
	Examiner Name	Stace, Brent S.
Attorney Docket Number		13768.604.22

#### U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

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#### U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20050160090	A1	2005-02-24	Andy Harjanto	
	2					

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#### FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> j	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
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#### NON-PATENT LITERATURE DOCUMENTS

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

( Not for submission under 37 CFR 1.99)

Application Number	10620095
Filing Date	2003-07-15
First Named Inventor	Andy Harjanto
Art Unit	2161
Examiner Name	Stace, Brent S.
Attorney Docket Number	13768.604.22

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	Office Action mailed September 26, 2007 cited in related application no. 11/064,578	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.